

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

4

B1

CONSTRUCTION ON STATE HIGHWAY IN
SANTA CLARA COUNTY, SAN JOSE, AT BASCOM AVENUE OFF-RAMPS
In District 04 on Route 880

UNDER

Notice to Bidders and Special Provisions dated October 2, 2017
Project plans approved April 10, 2017
Standard Specifications dated 2015
Standard Plans dated 2015

Identified by

Contract No. 04-1G8604

04-SC1-880-1.3

Project ID 0400020618

FEDERAL-AID PROJECT
ACIMG-880-1(082)E

Bids open Tuesday, November 7, 2017

Dated October 2, 2017

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1G8604 Project ID: 0400020618

Bidder Name: Granite Rock Company

Bidder ID: VC0000017415

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder Granite Rock Company

Business Street Address 5225 Hellyer Avenue

(Include even if P.O. Box is used)

Business P.O. Box Suite 220

City, State, Zip San Jose, CA, 95138

Telephone No: AREA CODE 4085741400

Fax No: AREA CODE 4083659548

Contractor License No. 22

Public Works Contractor Registration No. 1000000239

Checksum 12BC7609

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

- 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
 - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.
 - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
- 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
- 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
- 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:1
10-27-17 Revise Bid Book, Federal Minimum Wages, Project Plans, Notice to Bi

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items: Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1. Criminal prosecution

5.2. Rejection of the bid

5.3. Rescission of the award

5.4. Termination of the Contract

PRINTED NAME:Rodney Jenny AND
TITLE OF PERSON SIGNING:Executive Vice President

BY (Authorized signature)

DATE SIGNED(Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1G8604 Project ID: 0400020618

Bidder Name: Granite Rock Company

Bidder ID: VC0000017415

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	1,900.00
2	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	5,800.00
3	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	80,000.00
4	120149 PAVEMENT MARKING (PAINT)	TEMPORARY	15.0 SQFT	20.00	300.00
5	120159 TRAFFIC STRIPE (PAINT)	TEMPORARY	2,490.0 LF	3.00	7,470.00
6	120165 (SURFACE MOUNTED)	CHANNELIZER	10.0 EA	25.00	250.00
7	128652 (LS)	PORTABLE CHANGEABLE MESSAGE SIGN	LUMP SUM LS	LUMP SUM	8,600.00
8	129000 RAILING (TYPE K)	TEMPORARY	2,680.0 LF	25.00	67,000.00
9	129110 CUSHION	TEMPORARY CRASH	56.0 EA	300.00	16,800.00
10	033919 CUSHION	TEMPORARY ALTERNATIVE CRASH	2.0 EA	6,500.00	13,000.00
11	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	10,000.00
12	130200 PROGRAM	PREPARE WATER POLLUTION CONTROL	LUMP SUM LS	LUMP SUM	750.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130570	TEMPORARY COVER	1,700.0 SQYD	5.00	8,500.00
14	130620	TEMPORARY DRAINAGE INLET PROTECTION	9.0 EA	400.00	3,600.00
15	130640	TEMPORARY FIBER ROLL	700.0 LF	11.00	7,700.00
16	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	500.00
17	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	1,500.00
18	148005	NOISE MONITORING	LUMP SUM LS	LUMP SUM	500.00
19	153121	REMOVE CONCRETE (CY)	14.0 CY	350.00	4,900.00
20	170103	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	25,000.00
21	190105	ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)	1,070.0 CY	240.00	256,800.00
22	194001	DITCH EXCAVATION	11.0 CY	225.00	2,475.00
23	200002	ROADSIDE CLEARING	LUMP SUM LS	LUMP SUM	1,500.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
24	202006	SOIL AMENDMENT	3.0 CY	100.00	300.00
25	202039	SLOW-RELEASE FERTILIZER	20.0 LB	10.00	200.00
26	204035	PLANT (GROUP A)	130.0 EA	16.00	2,080.00
27	204099	PLANT ESTABLISHMENT WORK	LUMP SUM LS	LUMP SUM	6,100.00
28	205035	WOOD MULCH	320.0 CY	41.00	13,120.00
29	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LUMP SUM LS	LUMP SUM	500.00
30	206405	REMOVE IRRIGATION FACILITY	LUMP SUM LS	LUMP SUM	500.00
31	206559	CONTROL AND NEUTRAL CONDUCTORS (ARMOR-CLAD)	LUMP SUM LS	LUMP SUM	2,000.00
32	206565	2" REMOTE CONTROL VALVE	1.0 EA	500.00	500.00
33	206757	16-18 STATION IRRIGATION CONTROLLER (WALL MOUNTED)	1.0 EA	10,000.00	10,000.00
34	208426	2" BACKFLOW PREVENTER ASSEMBLY	1.0 EA	4,000.00	4,000.00
35	208442	FLOW SENSOR	1.0 EA	1,200.00	1,200.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
36	208448 ASSEMBLY	RISER SPRINKLER	130.0 EA	16.00	2,080.00
37	208450 ASSEMBLY	DRIP VALVE	2.0 EA	500.00	1,000.00
38	208575	2" GATE VALVE	1.0 EA	600.00	600.00
39(F)	208594 PIPE (SCHEDULE 40) (SUPPLY LINE)	3/4" PLASTIC	1,070.0 LF	3.00	3,210.00
40(F)	208595 (SCHEDULE 40) (SUPPLY LINE)	1" PLASTIC PIPE	420.0 LF	3.00	1,260.00
41(F)	208597 PIPE (SCHEDULE 40) (SUPPLY LINE)	1 1/2" PLASTIC	230.0 LF	4.00	920.00
42(F)	208605 (CLASS 315) (SUPPLY LINE)	2" PLASTIC PIPE	470.0 LF	5.00	2,350.00
43	208649 VALVE	QUICK COUPLING	1.0 EA	300.00	300.00
44	208683	BALL VALVE	1.0 EA	250.00	250.00
45	208738 HIGH DENSITY POLYETHYLENE PIPE CONDUIT	8" CORRUGATED	86.0 LF	250.00	21,500.00
46	210110 (CY)	IMPORTED TOPSOIL	410.0 CY	45.00	18,450.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
47	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	1,230.0 SQFT	1.00	1,230.00
48	210350	FIBER ROLLS	460.0 LF	4.00	1,840.00
49	210430	HYDROSEED	20,500.0 SQFT	0.35	7,175.00
50	210610	COMPOST (CY)	1,460.0 CY	40.00	58,400.00
51	210630	INCORPORATE MATERIALS	14,700.0 SQFT	0.50	7,350.00
52	260203	CLASS 2 AGGREGATE BASE (CY)	650.0 CY	155.00	100,750.00
53	390132	HOT MIX ASPHALT (TYPE A)	430.0 TON	220.00	94,600.00
54	390401	HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)	240.0 TON	250.00	60,000.00
55	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	300.0 LF	15.00	4,500.00
56	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	12.0 SQYD	75.00	900.00
57	397005	TACK COAT	1.0 TON	1,000.00	1,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
58	398200	COLD PLANE ASPHALT CONCRETE PAVEMENT	2,370.0 SQYD	5.00	11,850.00
59	398300	REMOVE BASE AND SURFACING	410.0 CY	55.00	22,550.00
60(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	5.1 CY	2,600.00	13,260.00
61(F)	510526	MINOR CONCRETE (BACKFILL)	17.0 CY	300.00	5,100.00
62	610103	12" ALTERNATIVE PIPE CULVERT	140.0 LF	250.00	35,000.00
63	610108	18" ALTERNATIVE PIPE CULVERT	150.0 LF	260.00	39,000.00
64	700617	DRAINAGE INLET MARKER	2.0 EA	25.00	50.00
65	705307	12" ALTERNATIVE FLARED END SECTION	1.0 EA	125.00	125.00
66	705311	18" ALTERNATIVE FLARED END SECTION	2.0 EA	175.00	350.00
67	710132	REMOVE CULVERT (LF)	52.0 LF	75.00	3,900.00
68	710150	REMOVE INLET	2.0 EA	1,900.00	3,800.00
69	710152	REMOVE HEADWALL	1.0 EA	2,200.00	2,200.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
70	710212	ADJUST MANHOLE TO GRADE	1.0 EA	1,200.00	1,200.00
71	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	1.0 CY	8,200.00	8,200.00
72	731504	MINOR CONCRETE (CURB AND GUTTER)	3.0 CY	3,600.00	10,800.00
73	731530	MINOR CONCRETE (TEXTURED PAVING)	6.0 CY	4,200.00	25,200.00
74(F)	750001	MISCELLANEOUS IRON AND STEEL	1,850.0 LB	1.50	2,775.00
75	800365	CHAIN LINK FENCE (TYPE CL-6, SLATTED)	400.0 LF	55.00	22,000.00
76	803050	REMOVE CHAIN LINK FENCE	320.0 LF	10.00	3,200.00
77	810230	PAVEMENT MARKER (RETROREFLECTIVE)	73.0 EA	5.00	365.00
78	820250	REMOVE ROADSIDE SIGN	10.0 EA	100.00	1,000.00
79	820300	REMOVE ROADSIDE SIGN (STRAP AND SADDLE BRACKET METHOD)	1.0 EA	50.00	50.00
80	820840	ROADSIDE SIGN - ONE POST	11.0 EA	275.00	3,025.00
81	820860	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	1.0 EA	80.00	80.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
82	033920	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	110.0 SQFT	12.00	1,320.00
83	033921	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	10.0 SQFT	13.00	130.00
84	033922	CRASH CUSHION (SCI100-GM)	3.0 EA	45,000.00	135,000.00
85	839701	CONCRETE BARRIER (TYPE 60)	250.0 LF	132.00	33,000.00
86	839703	CONCRETE BARRIER (TYPE 60C)	610.0 LF	150.00	91,500.00
87	839775	REMOVE CONCRETE BARRIER (TYPE K)	400.0 LF	1.00	400.00
88	839783	REMOVE CRASH CUSHION (SAND FILLED)	14.0 EA	1.00	14.00
89	840505	6" THERMOPLASTIC TRAFFIC STRIPE	2,520.0 LF	3.00	7,560.00
90	840506	8" THERMOPLASTIC TRAFFIC STRIPE	410.0 LF	4.00	1,640.00
91	840515	THERMOPLASTIC PAVEMENT MARKING	140.0 SQFT	8.00	1,120.00
92	846030	REMOVE THERMOPLASTIC TRAFFIC STRIPE	720.0 LF	6.00	4,320.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
93	846035	REMOVE THERMOPLASTIC PAVEMENT MARKING	30.0 SQFT	12.00	360.00
94	872130	MODIFYING EXISTING ELECTRICAL SYSTEM	LUMP SUM LS	LUMP SUM	170,000.00
95		BLANK	LUMP SUM LS	LUMP SUM	
96	141120	TREATED WOOD WASTE	920.0 LB	1.00	920.00
97	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	145,000.00
		Total Bid			\$1,762,374.00

Bidding Firm: Granite Rock Company

List this sub? Yes

- 1) Business Name Farwest Safety, Inc.
Location City Lodi State CA
California Contractor License Number 523187
Public Works Contractor Registration Number 1000001371
Portion of Work Subcontracted:

Item %	Description
2 100	
78 100 thru bid item 83 100%	
96 100	

List this sub? Yes

- 2) Business Name Rosendin Electric
Location City San Jose State CA
California Contractor License Number 142881
Public Works Contractor Registration Number 1000000659
Portion of Work Subcontracted:

Item %	Description
94 100	
97 7 Mobilization for electrical work	

List this sub? Yes

- 3) Business Name Oliveira Fence, Inc.
Location City Santa Clara State CA
California Contractor License Number 404243
Public Works Contractor Registration Number 1000003214
Portion of Work Subcontracted:

Item %	Description
75 100	
76 100	

Bidding Firm: Granite Rock Company

List this sub? Yes

- 4) Business Name Summit Environmental Contractors
Location City San Juan Capistrano State CA
California Contractor License Number 929061
Public Works Contractor Registration Number 1000024682
Portion of Work Subcontracted:

Item %	Description
21 82	Transport & Dispose ADL soil

List this sub? Yes

- 5) Business Name FBD Vanguard Construction
Location City Livermore State CA
California Contractor License Number 833032
Public Works Contractor Registration Number 1000008493
Portion of Work Subcontracted:

Item %	Description
85 100	
86 100	
97 9	Mobilization for concrete barrier

List this sub? Yes

- 6) Business Name Compass Engineering Contractors, Inc.
Location City Hayward State CA
California Contractor License Number 868996
Public Works Contractor Registration Number 1000003328
Portion of Work Subcontracted:

Item %	Description
4 100 thru bid item 5 100%	
77 100	
89 100 thru bid item 93 100%	

Bidding Firm: Granite Rock Company

List this sub? Yes

7) Business Name J. J. Nguyen, Inc.
Location City San Jose State CA
California Contractor License Number 857202
Public Works Contractor Registration Number 1000006473
Portion of Work Subcontracted:

Item %	Description
23 100	
24 100 thru bid item 46 100%	
97 5	Mobilization for irrigation & planting

List this sub? Yes

8) Business Name J. J. Nguyen, Inc.
Location City San Jose State CA
California Contractor License Number 857202
Public Works Contractor Registration Number 1000006473
Portion of Work Subcontracted:

Item %	Description
47 100 thru bid item 51 100%	

List this sub? Yes

9) Business Name DeKay Demolition and Clearing, Inc.
Location City Oakland State CA
California Contractor License Number 902267
Public Works Contractor Registration Number 1000004429
Portion of Work Subcontracted:

Item %	Description
20 100	

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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Granite Rock Company

List this sub? Yes

10) Business Name Western Traffic Supply, Inc.

Location City Pleasanton State CA

California Contractor License Number 974188

Public Works Contractor Registration Number 1000003313

Portion of Work Subcontracted:

Item	%	Description
3	87	Traffic control

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: _____

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:

For Material Change Only:
Year
Quarter
Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known
Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: _____

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

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Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Granite Rock Company, proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Santa Clara

Name Rodney Jenny,

being first duly sworn, deposes and says that he or she is

Executive Vice President of

Granite Rock Company

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE 0102.3 (REV 4/2016)
Contract No. 04-1G8604

Bond No. _____

We _____
_____ as Principal, and

_____ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SANTA CLARA COUNTY, SAN JOSE, AT BASCOM AVENUE OFF-RAMPS In District 04 on Route 880 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-07-17.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: _____, 20____.

Principal: _____

Surety: _____

Address of Surety: _____

(for correspondence or claims)

By _____

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

On this _____ before me, _____

Date

Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)

We Granite Rock Company

5225 Hellyer Avenue, Suite 220, San Jose, CA 95138

as Principal, and

Western Surety Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally.

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for Install CB between On-Ramps & Off-Ramps, and Modify Off-Ramps in Santa Clara County, San Jose at Bascom Avenue Off-Ramps

(Copy here the exact description of work, including location, as it appears on the proposal)

for which bids are to be opened at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

(Insert place where bids will be opened)

on

November 7, 2017

(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: October 31, 2017.

Granite Rock Company

Principal

Western Surety Company

Surety

333 S. Wabash Ave.

Chicago, IL 60604

Address of Surety (for correspondence only)

By See Attached Digital Signature

Stacy M. Clinton Attorney-In-Fact



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

N/A - Electronic Bid Bond

On this _____ before me,

Here Insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature _____

Signature of Notary Public

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Stacy M. Clinton, Individually

of Petaluma, CA its true and lawful Attorney-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

Surety Bond No: Bid Bond

Principal: Granite Rock Company

Obligee: California Department of Transportation

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 1st day of December, 2015.



WESTERN SURETY COMPANY

Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha }

ss

On this 1st day of December, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 31st day of October, 2017.



WESTERN SURETY COMPANY


L. Nelson, Assistant Secretary

Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

Status	Name	Issuer	Valid From	Valid To	Local Signing Time
 Signature Valid	Stacy M Clinton	TrustID CA A1	1/13/2017 3:31:28 PM	1/15/2018 3:31:28 PM	October 31, 2017 10:14:17